

Atty. Docket No.:

11111/2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Segal, et al.

Serial No.:

10/645,000

Filed:

August 20, 2003

Entitled:

LECTIN COMPOSITIONS AND

METHODS FOR MODULATING

AN IMMUNE RESPONSE TO AN

ANTIGEN

Examiner:

Not Yet Assigned

Group Art Unit:

Not Yet Assigned

Conf. No.:

Not Yet Assigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Brenda M. Woods

Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents P.O. Box 1450 **Alexandria, VA 22313-1450**

TRANSMITTAL LETTER

Enclosed for filing in the above-identified patent application, please find the following documents:

- Supplemental Information Disclosure Statement; 1.
- Form PTO-1449;
- Copies of Cited References; and
- Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 11111/2002. A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date:

September 26, 2003

Name: Mark J. FitzGerald

Registration No.: 45,928 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100

Applicant Applicant Applicant Filed:

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§§ 1.56, 1.97 AND 1.98

Dear Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant submits this Supplemental Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A listing of the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents in the list.

Pursuant to CFR § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein are material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application.

Date: September 26, 2003

Respectfully submitted,

Mar 1' Name: Mark J. FitzGerald Registration No.: 45,928 Palmer & Dodge LLP 111 Huntington Avenue Boston, MA 02199-7613

Tel: 617-239-0100



USATE Form 1449 U.S. Department of Conumerce Patent and DEMAN Office INFORMATION DISCLOSURE STATEMENT				Attorney Docket No. 11111/2002 Applicant(s): Segal, et al. Filing Date: August 20, 2003		1	Serial No. 10/645,000 Group: Not Yet Assigned		
IIC DATE	NT DO	CUMENTS							
Examiner Initial	IVI DO	Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)		
FOREIGN PATENT DOCUMENTS			Country	Class	Subclass	Translation			
Examiner Initial		Document No.	Publication Date	Country			YES	NO	
OTHER	OCUM	ENTS (including Aut	nor, Title, Date, Pert	inent Pages, etc.)					
OTHERD	1.	Delivennis G et al	(2000), "A fusion l	DNA vaccine that targ	ets antigen	-presenting	g cells increa	ises	
protection from viral challenge", PNAS, 97(12):6676-6680. EXAMINER					DATE	DATE CONSIDERED			
*EXAMINER: next communica	Initial if refer	ence considered, whether or not citat cant.		609. Draw line through citation if n	ot in conformance	and not considere	ed. Include copy of	this form with	